An integration of Euler's pentagonal partition

Giuseppe Scollo

draft, version 1

19 September 2010

Abstract

A recurrent formula is presented, for the enumeration of the compositions of positive integers as sums over multisets of positive integers, that closely resembles Euler's recurrence based on the pentagonal numbers, but where the coefficients result from a discrete integration of Euler's coefficients. Both a bijective proof and one based on generating functions show the equivalence of the subject recurrences.

1 Introduction

Euler's pentagonal recurrence for integer partitioning [6] may be presented as follows. By ancient Greek tradition, pentagonal numbers are those of the form $(3m^2 - m)/2$. To get all which are needed for Euler's recurrence, the range of m is extended to all integers, including the negative ones. Euler's coefficients for pentagonal recurrent partitioning, then, form the following sequence, indexed by the natural numbers:

$$e_n = (-1)^{k+1}$$
 if $n = (3k^2 \pm k)/2$, $e_n = 0$ if n is not pentagonal. (1.1)

Euler's pentagonal partitioning may then be obtained by the following recurrence, having set forth that p(0) = 1 and p(n) = 0 for negative n:

$$p(n) = \sum_{k>0} e_k p(n-k)$$
 (1.2)

Now, consider the following sequence of coefficients, which result from a discrete integration of Euler's sequence:

$$f_n = \sum_{0 \le k \le n} e_k \text{ for } n \ge 0.$$
 (1.3)

It's easy to see that $f_n = e_n$ iff n = 0 or $(3m^2 - m)/2 < n \le (3m^2 + m)/2$ for some positive m, and that, just like Euler's coefficients, also those defined by equation (1.3) are bound to take values in $\{0, \pm 1\}$.

We claim the following recurrence holds as well.

Claim 1. The coefficients defined by Equation (1.3) satisfy the recurrence:

$$p(n) = 1 + \sum_{k>0} f_k p(n-k)$$
(1.4)

¹Department of Mathematics and Computer Science, University of Catania, Italy. E-mail: scollo@dmi.unict.it

The proof of the validity of our claim is deferred until Section 6, however, whereas the forthcoming sections aim at elucidating its combinatorial as well as computational roots.

Let's fix some notation and terminology, for the purposes of the present note:

- \mathcal{P}_n : the set of *partitions* of natural number n, viz. the multisets of positive integers whose sum is n; the elements of a partition are referred to as its *parts*;
- S_n : the set of *strict partitions* of n, which are those where all parts are distinct, *i.e.* every part has multiplicity 1;
- $\mathcal{P}_{n_{\leq k}}$, $\mathcal{P}_{n_{\leq k}}$: the subset of \mathcal{P}_n where every part is constrained to be lower, resp. higher than k;
- $\mathcal{P}_{n_{\dot{\wedge}}}, \mathcal{P}_{n_{\dot{\vee}}}$: the subset of \mathcal{P}_n where k is the largest, resp. smallest part;
- $S_{n_{\leq k}}, S_{n_{\geq k}}, S_{n_{\stackrel{\wedge}{h}}}, S_{n_{\stackrel{\vee}{h}}}$: the similarly defined subsets of S_n ;
- $\begin{array}{l} \bullet \ \ p(n), s(n), \ p_{< k}(n), p_{> k}(n), p_{\stackrel{\wedge}{k}}(n), p_{\stackrel{\vee}{k}}(n), s_{< k}(n), s_{> k}(n), s_{\stackrel{\wedge}{k}}(n), s_{\stackrel{\vee}{k}}(n) : \ \ \text{the cardinality of} \ \ \mathcal{P}_n, \mathcal{S}_n, \\ \mathcal{P}_{n_{> k}}, \mathcal{P}_{n_{\stackrel{\wedge}{>} k}}, \mathcal{P}_{n_{\stackrel{\wedge}{k}}}, \mathcal{P}_{n_{\stackrel{\wedge}{k}}}, \mathcal{S}_{n_{> k}}, \mathcal{S}_{n_{\stackrel{\wedge}{k}}}, \mathcal{S}_{n_{\stackrel{\wedge}{k}}}, \mathcal{S}_{n_{\stackrel{\wedge}{k}}}, \operatorname{respectively.} \end{array}$

2 Recurrences for integer partitioning

Several recurrences are known to compute p(n), see e.g. [1, 2, 10, 11]. Some are direct recurrences, in the sense that only the subject function occurs as a recurrent in the recurrence body, e.g. as it happens with Equation (1.2). Their implementation by dynamic programming only takes O(n) space to store the only-once computed values of the recurrents, for a given input n. Another well-known direct recurrence for integer partitioning is the following, also originating from Euler's investigations [7] (see [3] for a history of Euler's work on the pentagonal number theorem):

$$p(n) = \frac{1}{n} \sum_{k \ge 1} \sigma(k) p(n-k)$$
(2.1)

where $\sigma(k)$ is the sum of the divisors of k. This recurrence may be obtained by a straightforward manipulation of Euler's generating function for p(n):

$$\prod_{j\geq 1} \frac{1}{1-x^j} = \sum_{n\geq 0} p(n)x^n. \tag{2.2}$$

The method that enables one to get a recurrence out of a generating function, such as (2.1) from (2.2), is well-known (see *e.g.* [11], pp. 8–9, for a clear exposition), and we do not deal with it now, but we anticipate that we make use of it in Section 6.2, where it turns out to be a helpful tool to prove the main claim of this note by means of generating functions. By the way, also the claimed Equation (1.4) is a direct recurrence.

Besides direct recurrences, several recurrences of a different kind are known for integer partitioning; their common character is, of course, that they involve the use of an *auxiliary* recurrence, that depends on additional parameters, most commonly one, such as a recurrence for any of the bound-indexed partition functions listed at the end of Section 1. Actually, recurrences that depend on additional parameters also find applications on their own, *e.g.* for computational purposes such as those reported by [11], p. 13. For the purposes of the present note, however, our primary interest is in their use as auxiliary devices, to get a closer

insight into the combinatorial justification of (usually direct) recurrences obtained by other means, such as the analytical manipulation of generating functions. A classical, highly relevant example in this respect is Franklin's combinatorial proof [8] of Euler's pentagonal number theorem (see *e.g.* [12] for a tutorial exposition of Franklin's proof). More recently, a note by Kevin Brown in his math pages [4] illustrates an enlightening bijective proof of Euler's pentagonal recurrence that, unlike Franklin's proof, doesn't even make use of the fact that the generating function of the pentagonal coefficients and that of the partition function are reciprocal.

Because of their composite functional structure, involving mutual recurrence between distinct recursive functions, we call *composite* recurrences for integer partitioning those where auxiliary recurrences occur. Here are a few, well-known examples, which turn out to be relevant to the developments in the forthcoming sections, together with their combinatorial justification. The first example is the composite recurrence adopted in the aforementioned note, that makes use of an auxiliary recurrence on $p_{\stackrel{\vee}{k}}(n)$, the number of partitions of n with smallest part k. This satisfies the following equations:

$$p(n) = p_{1}(n+1) \tag{2.3}$$

$$p_{k}(n) = \sum_{i > k} p_{k}(n-k) \text{ if } k < n$$
 (2.4)

$$p_{\stackrel{\vee}{n}}(n) = 1 \tag{2.5}$$

$$p_{\stackrel{\vee}{k}}(n) = 0 \text{ for } k > n \tag{2.6}$$

$$p_{\stackrel{\vee}{k+1}}(n) = p_{\stackrel{\vee}{k}}(n-1) - p_{\stackrel{\vee}{k}}(n-1-k). \tag{2.7}$$

The evidence of the first equation is immediate; we just point out its rôle in the reduction of the computation of p(n) to that of the auxiliary recurrent partitions, thanks to Equation (2.4). The latter is easily justified by considering the effect of the removal of a minimal part from each of the partitions in $\mathcal{P}_{n_{\vee}}$; one clearly gets the set $\mathcal{P}_{(n-k)_{>k-1}}$, whose cardinality may be computed by summing up $p_{\stackrel{\vee}{i}}(n-k)$ for all $i \geq k$. These contributions may be computed by using Equation (2.7), together with the obvious basis provided by Equations (2.5–6). A combinatorial argument for Equation (2.7) is obtained by considering the transfer of the negative term to the left hand side. Then $\mathcal{P}_{n-1_{\vee}}$ may be split into two disjoint subsets, viz. the partitions where the minimal part has multiplicity greater than 1, and those where there's only one minimal part. The former are clearly counted by $p_{\stackrel{\vee}{k}}(n-1-k)$, again by considering the effect of the removal of a minimal part; the latter are counted by $p_{\stackrel{\vee}{k}}(n)$, by considering the effect of adding 1 to the (only one) minimal part.

Equation (2.7), deployed as a left-to-right computation rule, warrants reduction of the computation of any auxiliary term $p_{\stackrel{\vee}{k+1}}(n)$ to terms with minimal part 1 and lower n, hence to contributions to a direct recurrence for p(n). This turns out to be Euler's pentagonal recurrence (1.2), details may be found in the aforementioned note. A relevant feature of the computational reduction displayed above, is the *difference* form of the right hand side of Equation (2.7). This tells why may it happen that most of the contributions yield a null result, which must be the case to get a recurrence with so many null coefficients as Euler's one. Such a feature is not enjoyed by other composite recurrences, such as the following one, making use of an auxiliary recurrence on $p_{< k}(n)$, which counts partitions with upper-bounded parts. The basic idea is to recursively split \mathcal{P}_n into two disjoint subsets of partitions: those with maximal part k, and those where every part is lower than k, with k ranging from n down to 2. The top-level split enables one to get p(n) by recursively computing $p_{< k}(n)$, using the following equations:

$$p(n) = 1 \text{ for } 0 \le n \le 1$$
 (2.8)

$$p(n) = 1 + p_{< n}(n) \text{ for all } n \ge 2$$
 (2.9)

$$p_{<2}(n) = 1 \text{ for all } n \ge 0$$
 (2.10)

$$p_{< k+1}(n) = \sum_{m=0}^{\lfloor n/k \rfloor} p_{< k}(n - mk) \text{ for } 2 \le k < n$$
 (2.11)

$$p_{< k}(n) = p(n) \text{ if } k > n$$
 (2.12)

The combinatorial evidence of these equations needs little explanation; it may be useful to point out that Equation (2.11) splits $\mathcal{P}_{n_{< k+1}}$ into $\lfloor n/k \rfloor + 1$ pairwise disjoint subsets, according to the multiplicity m of the maximum allowed part (viz. k) as maximal part in the partition, for the given upper bound on it (for m=0 one thus gets the partitions where maximal parts are strictly lower than k). On the other hand, neither is this recurrence computationally convenient (its dynamic programming implementation takes $O(n^2)$ space to store the computed recurrents), nor does it immediately lend itself to reduction to a direct recurrence where a significant subset of the coefficients would be null, since the deployment of its equations as computational rules, unlike the previous case, features no difference of recurrents in the right-hand-side. However, it does offer a good basis for further combinatorial reasoning, that leads to a different, composite recurrence which enjoys this property, as it is shown in Section 5.

3 Auxiliary reductions in composite recurrent partitioning

A common feature of composite recurrences of interest in this note, is their ability to inductively reduce the computation of terms of form $p_{\diamond k}(n)$, where \diamond is a generic designator of the type of constraint that is imposed over partitions counted by the auxiliary recurrence, to terms of similar form, but with such values of the k,n pair that they are equated, by the *relative* inductive basis of the given recurrence, to terms p(n-j) of the underlying direct recurrence, thus for $1 \le j \le n$. The *relative* qualification is precisely meant to say here that, by induction on the auxiliary parameter, all auxiliary terms reduce to terms of the other family, so, the relative basis of the induction does not consist of a computational assignment of values to the auxiliary terms which have minimal values of the induction parameter, it rather consists of their immediate reduction to nonauxiliary terms.

By the way, in Section 1 we only introduced the four types of constraints which are relevant to the present note, but many other types may well deserve interest in other contexts. For example, it is easy to devise constructions of direct recurrences by induction on the cardinality of partitions, and hereby, if so wished, to consider minima and maxima thereof, upper and lower bounds thereupon, etc.. Constraints may be combined even further, thereby giving rise to auxiliary recurrences with more than one parameter. Alternative auxiliary recurrences do not always deliver different outcomes, though. For example, it is well known that induction on the cardinality of partitions, or on upperbounds thereupon, is equivalent to induction on maximal parts, or on upperbounds thereupon, respectively. This is immediately seen by transposing the Ferrers diagrams which represent partitions [11]. This very fact, however, also shows that such equivalences do not hold for strict partitions, since transposition of Ferrers diagrams does not preserve strictness.

If the construction of a direct recurrence is meant to be the purpose of the composite one, then a greater interest arises in the *auxiliary reductions* produced by the composite recurrence, in order to evaluate their contributions to coefficients of the target direct recurrence. More precisely, this is formalized as follows.

For the sake of simplicity, only composite recurrences with one auxiliary parameter are considered. Generalization to the multiparameter case is straightforward, but not needed for the purposes of this note.

The following concept proves useful to the forthcoming formalization. Recall that a *rewrite rule* is a pair of terms with variables, usually written in the form $t_1 \to t_2$, such that 1) t_1 is not a variable, and 2) every variable which occurs in t_2 also occurs in t_1 . A rewrite rule may be extended with a *domain condition*, viz. a predicate with variables which occur in t_1 , that specifies the rule applicability domain. Rewrite rules with domain conditions may be written in the form [d] $t_1 \to t_2$, with d the domain condition.

Rule instantiation, being a syntactic operation, is not constrained by domain conditions—but a rule instance results from applying a substitution to all variable occurrences in all rule constituents, domain condition included. Domain conditions rather affect the definition of *ground rewriting system* generated by a set \mathcal{R} of rewrite rules with domain conditions. This is the set of ground rewrite rules, viz. rewrite rules with neither variables nor domain conditions, that are σ -instances of some rewrite rule r by a closed substitution σ such that 1) there is a rule [d] r in \mathcal{R} , and 2) the interpretation of ground predicate σd holds. Let $\mathcal{R}_{\mathbf{g}}$ denote the ground rewriting system generated by the set \mathcal{R} of rewrite rules with domain conditions.

For a given target partition-counting function and auxiliary recurrence function, the set of *primary* recurrence atoms is defined to consist of the terms of form P(u), where P is a generic designator of the target function (which may be p, s, or any other which may be of interest), while the set of auxiliary recurrence atoms consists of the terms of form A(u,v), where A is a generic designator of the auxiliary recurrence function, with u,v arithmetic terms, possibly with variables, that may only take integer values. A recurrence literal is either a recurrence atom or the product of a recurrence atom by an integer arithmetic term; the literal is either primary or auxiliary depending on the similar qualification of its constituent atom.

Assume now we are given a finite set \mathcal{R} of rewrite rules with domain conditions, on these literals extended with *additive* arithmetic terms built upon literals and integer arithmetic terms. Without too much loss of generality, assume that for every rule [d] $t_1 \rightarrow t_2$ in \mathcal{R} , t_1 is a recurrence atom with only variables as proper subterms, while t_2 is an additive arithmetic term on recurrence literals and integer arithmetic terms; this term is assumed to be in additive arithmetic normal form, here defined as an indexed sum of recurrence literals, where each atom may occur in at most one literal, plus at most one standalone arithmetic term. Index bounds may well be integer arithmetic terms. Indexing may be implicit, whenever binary additive operators, rather than an explicitly indexed sum operator, constitute the sum; in this case the index assigned to each literal is identified with the sequential position of the recurrence literal in the sum term.

Further, assume that \mathcal{R} contains one or more rules where the left hand side term is a primary atom while one or more auxiliary atoms and no primary atom occur in the right hand side term, as well as one or more rules where the left hand side term is an auxiliary atom while no auxiliary atom occurs in the right hand side term. The former are referred to as *startup rules*, the latter as *termination rules*, while all other rules are assumed to belong to either of the following categories: *primary rules*, where the left hand side term is a primary atom while no auxiliary atom occurs in the right hand side term, and *auxiliary rules*, where the left hand side term is an auxiliary atom while one or more auxiliary atoms and no primary atom occur in the right hand side term. We thus rule out only rules (pun intended) where both primary atoms and auxiliary atoms occur in the right hand side term.

Rules may be (uniquely) named, and thus be put in the following general form, for each of the four rule types just introduced, where r is the rule name (which may also be made use of to designate the rule itself). Please note that the summation index upperbound is generally allowed to be lower than the lowerbound, in which case the summation is null. However, in those cases where the upperbound is not allowed to be lower than the lowerbound, this constraint is specified to the right of the index upperbound in the summation. The

lowerbound 1 is taken in the following forms, with no loss of generality since one may always meet this assumption by an index substitution in a given summation.

primary
$$r: [d_r(n)]$$
 $P(n) \rightarrow t_{r_0} + \sum_{1 \leq i \leq n_r} t_{r_i} P(u_{r_i}),$ (3.1a)
startup $r: [d_r(n)]$ $P(n) \rightarrow t_{r_0} + \sum_{1 \leq i \leq n_r \geq 1} t_{r_i} A(u_{r_i}, v_{r_i}),$ (3.1b)
auxiliary $r: [d_r(n,k)]$ $A(n,k) \rightarrow t_{r_0} + \sum_{1 \leq i \leq n_r \geq 1} t_{r_i} A(u_{r_i}, v_{r_i}),$ (3.1c)
termination $r: [d_r(n,k)]$ $A(n,k) \rightarrow t_{r_0} + \sum_{1 \leq i \leq n_r} t_{r_i} P(u_{r_i}),$ (3.1d)

startup
$$r : [d_r(n)]$$
 $P(n) \rightarrow t_{r_0} + \sum_{1 \le i \le n_r > 1} t_{r_i} A(u_{r_i}, v_{r_i}),$ (3.1b)

auxiliary
$$r: [d_r(n,k)]$$
 $A(n,k) \rightarrow t_{r_0} + \sum_{1 \le i \le n_r \ge 1} t_{r_i} A(u_{r_i}, v_{r_i}),$ (3.1c)

termination
$$r: [d_r(n,k)] A(n,k) \to t_{r_0} + \sum_{1 \le i \le n_r} t_{r_i} P(u_{r_i}),$$
 (3.1d)

where n, k are variables ranging over the integers, $t_{r_i}, u_{r_i}, v_{r_i}, n_r$ denote integer arithmetic terms on these variables as well as the bound variable i, for $1 \le i \le n_r$, but not on k in primary and startup rules unless it is the bound variable of the summation in the scope of which those terms occur, t_{r_0} optional, but mandatory if $n_r = 0$.

Let $\mathcal{R}_P, \mathcal{R}_I, \mathcal{R}_A$, and \mathcal{R}_T , denote the subsets of \mathcal{R} that consist of the primary, startup, auxiliary, and termination rules in \mathcal{R} , respectively. Furthermore, let $\mathcal{R}_1 = \mathcal{R}_P \cup \mathcal{R}_I$, and $\mathcal{R}_2 = \mathcal{R}_A \cup \mathcal{R}_T$.

Let \tilde{t} denote the value of arithmetic ground term t. A rewrite system \mathcal{R} composed of rules of the form displayed above, is *unitary* if every ground rule $r \in \mathcal{R}_{\mathbf{g}}$ it generates, satisfies the following condition:

$$1 \le i \le n_r \Rightarrow ((-1 \le \tilde{t}_{r_i} \le 1)) \land ((j > 0 \land (\tilde{u}_{r_i} = \tilde{u}_{r_i}) \land (\tilde{v}_{r_i} = \tilde{v}_{r_i})) \Rightarrow i = j)). \tag{3.2}$$

We henceforth assume to deal with unitary rewrite systems, since they suffice to the purposes of the present note, although much of the forthcoming work may be extended to nonunitary rewrite systems by a straightforward generalization.

Furthermore, an *orthogonality* requirement is put on \mathcal{R} , that bears some resemblance with the analogous, syntactic property as defined for term rewriting systems, but in the present context it generally depends on the interpretation of (ground) domain conditions. Briefly, the aim is to make sure that every ground rule in $\mathcal{R}_{\mathbf{g}}$ may be traced back to only one rule in \mathcal{R} . This is warranted by the requirement that domain conditions of rules in R specify pairwise disjoint sets of ground instances whose interpretation holds, that is, for every closed substitution σ and every pair r, r' of rules in \mathcal{R}_i , with $1 \leq i \leq 2$, letting $d_r, d_{r'}$ denote their respective domain conditions (with concise, but somewhat cavalier notation, hopefully forgiven by the learned reader):

$$(\sigma d_r \wedge \sigma d_{r'}) \Rightarrow r = r' \tag{3.3}$$

The additive shape of terms which are assumed to form the right hand side of auxiliary rules, together with the orthogonality and unitarity assumptions enable the following geometric interpretation of their ground instances, hereafter termed (auxiliary) ground rules, for brevity. The parallel reduction graph of auxiliary ground rules may be construed as a directed acyclic graph (DAG) with labelled edges and (also labelled) vertices in the discrete Cartesian plane. Ground auxiliary atoms A(u, v) are interpreted as points (\tilde{u}, \tilde{v}) in the plane. Since \mathcal{R} is unitary, each auxiliary ground rule may be put in the following form

$$r: A(u_{r_0}, v_{r_0}) \to t_r + \sum_{1 \le i \le n_r > 1} s_{r_i} A(u_{r_i}, v_{r_i}),$$
 (3.4)

with n_r, u_{r_i}, v_{r_i} arithmetic ground terms, for $0 \le i \le \tilde{n}_r$, and $s_{r_i} \in \{\pm 1\}$, for $1 \le i \le \tilde{n}_r$, and contributes a fan of edges to the DAG construction, all outgoing from $(\tilde{u}_{r_0}, \tilde{v}_{r_0})$, and each respectively incoming to $(\tilde{u}_{r_i}, \tilde{v}_{r_i})$; moreover, ground rule r contributes a sign label s_{r_i} to the edge incoming to target vertex $(\tilde{u}_{r_i}, \tilde{v}_{r_i})$ in the fan, for $1 \le i \le \tilde{n}_r$, and, whenever a standalone arithmetic term t_r occurs in the right hand side of the rule, this contributes an integer constant label \tilde{t}_r to the source vertex of the fan, $(\tilde{u}_{r_0}, \tilde{v}_{r_0})$, otherwise labelled by the default label 0 (omitted when drawing the DAG). Thanks to the orthogonality requirement, every vertex and every edge of the DAG have label provided by a unique rule, while the unitarity assumption warrants two-valuedness of edge labels. This assumption may be relaxed by taking integers as edge labels.

Before addressing the interpretation of startup and termination rules in the discrete Cartesian geometry, it is convenient to check whether the assumptions made so far may be met in cases of interest. To this purpose, first, consider the composite recurrence defined by equations (2.3)–(2.7). As they stand, their leftto-right reading as rewrite rules does not comply with the orthogonality requirement on the auxiliary rewrite system; e.g., rules corresponding to equations (2.4) and (2.7) overlap. One may get an equivalent set of equations (with domain conditions), whose left-to-right reading complies with that requirement as well as all other assumptions made so far, as follows. Take the equation obtained by transitivity from equation (2.3) and the $[k \mapsto 1, n \mapsto n+1]$ -instance of equation (2.4), and put upperbound n and lowerbound 1 to the summation index, thanks to equation 2.6. A basis equation for p(0) is separately needed. Equation (2.7) should to be limited to its $(1 \le k < n-1)$ -instances, not to overlap with equations (2.5–6), but we may as well raise by 1 the upperbound on k and dispose of equation (2.5). Equation (2.3) is turned into a rewrite rule by right-to-left reading, Equation (2.4) may be safely disposed of. All this results in the following rewriting system with domain conditions:

$$[n=0] p(n) \to 1 (3.5a)$$

$$[n > 0] p(n) \to \sum_{1 \le i \le n} p_{\check{i}}(n) (3.5b)$$

$$[n > 0 \land k = 1] p_{\check{k}}(n) \to p(n-1) (3.5c)$$

$$[2 \le k \le n] p_{\check{k}}(n) \to p_{\check{k}-1}(n-1) - p_{\check{k}-1}(n-k) (3.5d)$$

$$[k > n] p_{\check{k}}(n) \to 0 (3.5e)$$

$$[n > 0 \land k = 1] \qquad p_{\stackrel{\checkmark}{k}}(n) \to p(n-1) \tag{3.5c}$$

$$[2 \le k \le n] \qquad p_{\stackrel{\vee}{k}}(n) \to p_{\stackrel{\vee}{k-1}}(n-1) - p_{\stackrel{\vee}{k-1}}(n-k) \tag{3.5d}$$

$$[k > n] p_{\stackrel{\vee}{k}}(n) \to 0 (3.5e)$$

Clearly, this system is unitary and orthogonal. It is composed of one primary rule (3.5a), one startup rule (3.5b), two termination rules (3.5c), (3.5e), and one auxiliary rule (3.5d).

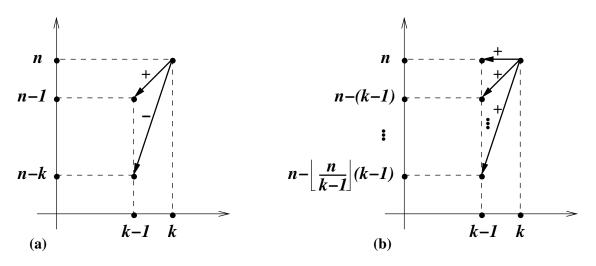


Figure 1: Auxiliary ground rules for unitary recurrences

Figure 1(a) displays the fan which represents a generic ground instance of rule (3.5d) in the parallel reduction DAG, where the first coordinate is on the vertical axis (this perhaps unusual choice is motivated in Section 4).

As a second check, consider the composite recurrence defined by equations (2.8–12). Unlike in the first case, their left-to-right reading as rewrite rules fully complies with all of the assumptions made so far about the rewriting systems which are of interest here, but for a straightforward introduction of fairly obvious domain conditions in order to turn the left hand side atom of equations (2.10–11) into an atom whose subscript subterm is a variable. We need not reproduce the rewrite rules explicitly, but we just understand that they are those equations with left-to-right orientation as rewrite rules, and with the easily defined domain conditions as mentioned above. This rewriting system is composed of one primary rule (2.8), one startup rule (2.9), two termination rules (2.10), (2.12), and one auxiliary rule (2.11). Figure 1(b) displays the fan which represents the interpretation of a generic ground instance of this rule in the parallel reduction DAG.

4 Getting direct recurrences out of composite ones

What rôle do primary atoms and nonauxiliary rules play with respect to the parallel reduction DAG introduced in the previous section? The answer to this question will prove straightforward once the target of the representation under development is formalized.

Recall, the purpose is as per title of this section, thus it entails that primary rules fit the purpose as they stand, therefore one need not do anything with them, but to include them as equations (with domain conditions, this is henceforth understood) in the set of equations forming the aimed at direct recurrence. An additional bit of information which may be extracted from the primary rules is the characterization of the subset of the partitioning domain (the nonnegative integers, in the subject case) which they apply to, so that its complement in the subject domain may be taken as the domain of the as yet to be discovered part of the target recurrence. But this bit is quite a redundant one, since the orthogonality requirement implies the domain of startup rules is included therein, and it actually coincides with it, if the composite recurrence is to define a total function over the subject domain, viz. the composite recurrence is *complete*, which property is henceforth assumed.

In view of the forthcoming formalization, let D(n) be a predicate over the integers that characterizes the domain of the target direct recurrence, excluding the subdomain covered by the primary rules. Then, by orthogonality of \mathcal{R} and completeness of the composite recurrence, the family of subsets that are characterized by predicates in the $(d_r(n) \mid r \in \mathcal{R}_I)$ family, partitions the set characterized by D(n). One may conceive to design the as yet unknown part of the target direct recurrence as a set of \mathcal{R}_I -indexed equations, one for each $r \in \mathcal{R}_I$, that thus bijectively correspond to the rules in \mathcal{R}_I . This justifies the choice of naming each of the subject equations with the same name as the corresponding startup rule, with no danger of confusion, thanks to the different syntactic shapes of equations and rewrite rules. Now, if the inductive nature of recurrences as function definitions is taken into account, then it is easy to realize the convenience of giving the following form to the part of the aimed at direct recurrence that does not come from primary rules. This part will consist of one r-named equation for each startup rule in \mathcal{R}_I , the r-named one, that is designed to be of the following form:

$$r: d_r(n) \to P(n) = c_{r_0} + \sum_{1 \le j \le n} c_{r_j} P(n-j),$$
 (4.1)

where the *coefficient terms* $(c_{r_j} \mid 0 \le j \le n, r \in \mathcal{R}_I)$ are the whole and essential subject of the design under consideration. Each startup rule is thus meant to eventually result in a map c_r , that is of type $\mathbb{N} \to \mathbb{Z}$,

with \mathbb{N} the nonnegative integers, in the fairly frequent case that the coefficients terms in equation 4.1 are *constants*, viz. only depend on j, not on n, otherwise it is of type $\mathbb{N} \to \mathbb{N} \to \mathbb{Z}$.

Now, startup rules have found a way to the target, but not yet one to the method, that is to say, to the parallel reductions DAG. To this end, consider the lower dimensionality of primary atoms with respect to that of auxiliary atoms. Ground instances of auxiliary atoms are interpreted as points of the discrete Cartesian space where the DAG lives in, thus it is fairly obvious that ground instances of primary atoms be interpreted as points of an isomorphic image of a unidimensional subspace of the subject space, viz. points of the coordinate axis which hosts the interpretation image of their corresponding projection in auxiliary atoms. Since the present target is to construct a family of integer maps $(c_r \mid r \in \mathcal{R}_I)$, it is fairly natural to take a second copy of the discrete Cartesian plane to host the representation of the target maps, and to interpret left hand side atoms of ground startup rules as points on the *second* coordinate axis of this plane, which is henceforth referred to as the *primary plane*, where the coefficient functions of the target primary recurrence are sought for. The former plane may be qualified as the *auxiliary plane*, and this is taken as the default plane, thus unless otherwise specified. The reason for the choice of the second coordinate axis to represent primary atoms relates to the aforementioned target, since it makes the first coordinate axis available to represent the values j of the c_r index (for each $r \in \mathcal{R}_I$), with the second coordinate representing the value of c_{r_i} in the constant case (otherwise a third dimension is needed, of course).

Similarly to the instantiation of form (3.1c) to form (3.4) for ground auxiliary rules under the unitarity assumption, ground startup rules are of the form

$$r: P(u_{r_0}) \to t_r + \sum_{1 \le i \le n_r \ge 1} s_{r_i} A(u_{r_i}, v_{r_i}),$$
 (4.2)

under the same assumption. Consistently with the representation of auxiliary ground rules in the DAG, each ground startup rule r contributes a fan of edges to the extended DAG construction (now spanning over two planes), all outgoing from source vertex $(0, \tilde{u}_{r_0})$ in the primary plane, and each respectively incoming to $(\tilde{u}_{r_i}, \tilde{v}_{r_i})$. Moreover, ground rule r contributes the sign label s_{r_i} to the edge incoming to target vertex $(\tilde{u}_{r_i}, \tilde{v}_{r_i})$ in the fan, for $1 \le i \le n_r$, and, whenever a standalone arithmetic term t_r occurs in the right hand side of the rule, this contributes the constant label \tilde{t}_r to the source vertex of the fan, $(0, \tilde{u}_{r_0})$, otherwise labelled by the default label 0 (omitted in DAG drawing).

Finally, as it may be expected, each ground termination rule construes a fan of edges in the extended DAG, with source vertex in the auxiliary plane and target vertices in the first coordinate axis of the primary plane. Under the unitarity assumption, ground termination rules are of the form

$$r: A(u_{r_0}, v_{r_0}) \to t_r + \sum_{1 \le i \le n_r} s_{r_i} P(u_{r_i}),$$
 (4.3)

and the fan of edges construed by such a rule has source vertex $(\tilde{u}_{r_0}, \tilde{v}_{r_0})$ with constant label \tilde{t}_r , and an edge for each ground primary atom $P(u_{r_i})$, with target vertex $(\tilde{u}_{r_i}, 0)$ in the target plane and sign label s_{r_i} .

A few conclusions may be easily drawn now, about values of the coefficients of the target primary rules (4.1), starting with the "constant" coefficient c_{r_0} . This is only a constant relatively to recurrence, for it generally designates a function that returns an integer for each value of n. It may well happen that this function is a constant one, as it often does happen, but that's not necessarily the case in general. For each value \tilde{n} of n that satisfies $d_r(n)$, consider the subgraph of the extended parallel reduction DAG that is rooted at $(0, \tilde{n})$ in the primary plane, and where every terminating path is considered up to the point which represents the left hand side atom of the ground termination rule which is eventually applied for that path. Each vertex in the subgraph contributes the value of its constant label, multiplied by the sign product of the

edge labels along the path leading from the subgraph root to it, to $c_{r_0}(\tilde{n})$. The value of this coefficient for the chosen \tilde{n} thus results from the sum of all these contributions, including those made by termination rules.

The sign labels of edges in the fans construed by ground termination rules play no rôle in computing $c_{r_0}(\tilde{n})$, but they do play one in the computation of other coefficients in the target recurrence, for the given \tilde{n} . In this case, terminating paths in the aforementioned subgraph of the DAG are to be considered up to their termination point proper, in the primary plane, and each of them contributes a unitary summand, with sign given by the sign product of all edge labels along the path, to the value of coefficient $c_{r_j}(\tilde{n})$ in the target r-equation, where r is the startup ground rule which construes the subgraph of the DAG where the path lives in, and

$$j = \tilde{n} - \tilde{u}_{r'},\tag{4.4}$$

where r' is the ground termination rule which construes the edge fan where the terminal edge of the path is found, and finally i the index of its right hand side atom that construes that edge.

The first conclusions just drawn about the construction of the coefficient maps $(c_r \mid r \in \mathcal{R}_I)$, especially equation (4.4), invite further analysis. Let \mathbb{A}_T denote the *terminal region* of the auxiliary plane, that consists of those points which satisfy the domain condition of some termination rule, while let \mathbb{A}_I denote the *startup region*, consisting of those points which interpret an atom occurrence in the right hand side of some ground startup rule. Since $\tilde{u}_{r_i'}$ only depends on $\tilde{u}_{r_0'}, \tilde{v}_{r_0'}$, and the index i, the $[0 \le i \le \tilde{n}_{r'}]$ -indexed family of coefficient contributions coming from each point of \mathbb{A}_T only depends on the terminal point coordinates, say (n_t, k_t) , thanks to uniqueness of the applicable rule r' at each of its points. \mathbb{A}_T may thus be quotiented by an equivalence relation θ that partitions it by identity of coefficient contribution families.

Now, further progress may be made backwards, by wondering (or wandering, in a sort of crabwise strategy, or pondering) where do those contributions come from. Each point in \mathbb{A}_T is the termination point of a set of paths in the auxiliary plane, each path starting at some point $(n_0, k_0) \in \mathbb{A}_I$, and further determined by the sequence of indices $(i_m \mid 1 \leq m \leq l)$, with l the path length, viz. the number of edges it consists of, where i_m is the edge index in the edge fan construed by the reduction rule which the m-th edge in the path may be ascribed to. A one-to-one correspondence is thus established between terminating paths in the auxiliary plane and pairs $(\vec{a_0}, \vec{i})$, with $\vec{a_0} = (n_0, k_0) \in \mathbb{A}_I$ and $\vec{i} = (i_m \mid 1 \leq m \leq l)$, with l the path length.

Finally, as last wandering step, the $\vec{a_0}$ startup point component of each of the aforementioned pairs may be replaced by an edge index component i_0 , similar to the constituents of the rest of the structure, that is the index of the edge, in the edge fan construed by the startup rule, that connects point \tilde{n} in the second coordinate of the primary plane to the auxiliary path startup point $\vec{a_0}$ as target vertex.

As a matter of fact, a reconsideration of the previous treatment of termination rules in the analysis outlined above, suggests the possibility to extend the subject paths one step beyond the auxiliary Cartesian plane, to include the final edge, ascribed to each of them by a corresponding index in the termination rule, that connects the auxiliary path terminal point to final point j in the first coordinate axis of the primary plane. The full path length is thus l+2, where l is that of its part in the auxiliary Cartesian plane. It is often the case that, for each \tilde{n} in the second coordinate axis of the primary plane, the set $\{\tilde{n}_r \mid r \in \{r_I(\tilde{n})\} \cup \mathcal{R}_{\mathcal{A}} \cup \mathcal{R}_{\mathcal{T}}\}$, is bounded, where $r_I(\tilde{n})$ is the unique startup rule such that \tilde{n} satisfies its domain condition. In such a case, letting $a_{\max}(\tilde{n})$ denote the maximum element of this set, every path may be uniquely coded, for the given \tilde{n} , as a word of length l+2 over an alphabet of cardinality $a_{\max}(\tilde{n})$. The coding may be useful if one manages to define two functions on its image: a *(primary index) valuation* function, returning the coefficient index j, in the target primary recurrence, which the coded path gives a unitary contribution to, and a *polarity* function, returning the sign of that contribution.

In practice, it is often sufficient to restrict the analysis outlined so far to auxiliary paths only, e.g.

whenever termination rules construct a single-edge fan, startup rules label with the same sign all edges in their edge fan, and coding of startup points is easily combined with edge sequence coding in such a manner that the definition of the two aforementioned functions proves straightforward. This is what happens, for instance, in the combinatorial proof of Euler's pentagonal recurrence for integer partition that is exposed in [4], and it happens as well, albeit with a different coding, in the recurrence for the same function that is going to be presented next. The valuation equivalence partitioning of the terminal region proves useful in this case, and that was the reason to introduce it in the first place. Nonetheless, it seemed useful to outline a more general framework, that could support combinatorial reasoning to solve the target problem, as per title of this section, also when the problem instance does not meet conditions, such as those listed above, which allow the aforementioned restriction of the analysis.

5 A recurrence for integer partitioning based on maximal parts

As pointed out at the end of Section 2, the composite recurrence for integer partitioning provided by equations (2.8–12) does not lend itself to reduction to a direct recurrence with (several) null coefficients, because of its lack of difference of recurrents in its right hand side terms. However, one may take it as a basis to design another composite recurrence which enjoys this feature. We give it the form of a unitary system of rewrite rules with domain conditions from the outset.

The partitioning indexed by maximal parts, $p_{\stackrel{\wedge}{k}}(n)$, is taken as auxiliary recurrence. The following identity between the two subject auxiliary recurrences is plain:

$$p_{< k}(n) = \sum_{1 < j < k} p_{\hat{j}}(n) \tag{5.1}$$

The following, immediately evident properties of the latter partitioning prove useful:

$$p_{\uparrow}(n) = p_{\uparrow}(n) = p_{\uparrow}(n) = 1,$$
 (5.2)

as well as the fact that, for k>n/2, partitions in $\mathcal{P}_{n_{\stackrel{\wedge}{h}}}$ have only one maximal part. Some further combinatorial reasoning justifies the following rewrite rules for the subject composite recurrence:

$$[n \ge 0] \qquad p(n) \to 1 + \sum_{2 \le k \le n} p_{\stackrel{\wedge}{k}}(n) \tag{5.3a}$$

$$[\max(2, n/2) \le k \le n] \qquad p_{\stackrel{\wedge}{k}}(n) \to p(n-k) \tag{5.3b}$$

$$[\max(2, n/2) \le k \le n] \qquad p_{\stackrel{\wedge}{h}}(n) \to p(n-k) \tag{5.3b}$$

$$[2 \le k < n/2]$$
 $p_{\stackrel{\wedge}{k}}(n) \to p_{\stackrel{\wedge}{k+1}}(n+1) - p_{\stackrel{\wedge}{k+1}}(n-k)$ (5.3c)

First, the reason for the 1 in equation (5.3a), rather than 0 while taking 1 as lowerbound of the summation index, is that by the latter choice one would have to put [n>0] as domain condition, and $p(0)\to 1$ should then also be specified as a separate rule. Both choices yield the correct rewriting for p(1), under the usual convention that summation is null when the index has upperbound lower than lowerbound, but the latter choice would produce the erroneous reduction $p(0) \to 0$, were the rule domain not be restricted to the positive integers. Note, however, that because of the lower bound on the summation index, rule (5.3a) has two primary ground instances, for $0 \le n \le 1$, while it has ground startup rule instances for $n \ge 2$.

Second, note that the auxiliary and termination rules do not fully specify $p_{\hat{k}}(n)$, but only its restriction to the $2 \le k \le n$ region of its domain. Nevertheless, this suffices to the auxiliary purpose of the function

in question, as it is apparent from the startup rule (5.3a) and from the fact that the so restricted domain is closed under auxiliary reductions, these being specified by rule (5.3c). Should a complete specification of $p_{\uparrow}(n)$ for positive integer k be of interest, then the following two rules ought to be included as well:

$$[n>0] p_{\uparrow}(n) \to 1 (5.4a)$$

$$[n>0] p_{\stackrel{\wedge}{1}}(n) \to 1 (5.4a)$$

$$[k>n] p_{\stackrel{\wedge}{k}}(n) \to 0 (5.4b)$$

Third, as mentioned above, uniqueness of the maximal part in partitions from $\mathcal{P}_{n_{\uparrow}}$ is warranted for k > n/2, thus justifying rule (5.3b) for all of its domain but the boundary case k = n/2, where n is even and (exactly) one of its partitions consists of two maximal parts, viz. two halves of n. This case ought to fall in the domain of rule (5.3c), but it so happens that, precisely on these boundary points, the two rules turn out to be equivalent (see below). It is then convenient to place this part of the boundary within the domain of the termination rather than auxiliary rule, since this choice warrants closure of the aforementioned restricted domain of the subject auxiliary function under auxiliary construction steps, as it is argued below.

Fourth, the combinatorial argument for rule (5.3c) is similar to that exposed for equation (2.7), by considering the transfer of the negative term to the left hand side. Then \mathcal{P}_{n+1} may be split into two disjoint subsets, viz. the partitions where the maximal part has multiplicity greater than 1, and those where there's only one maximal part. The former are clearly counted by $p_{\stackrel{\wedge}{k+1}}(n-k)$, by considering the effect of the removal of a maximal part; the latter are counted by $p_{\stackrel{\wedge}{k}}(n)$, by considering the effect of subtracting 1 from the (only one) maximal part (which is greater than 2 by assumption, since it is k+1, with $k\geq 2$ by the domain condition; the subtraction thus does not make the outcome to leave the restricted domain).

Finally, as mentioned above, rules (5.3b) and (5.3c) are equivalent for the boundary case k = n/2, with even n. Clearly, the previous argument applies to this special case, too, where the $[n \mapsto 2k]$ -instance of the right hand side of rule (5.3c) would be $p_{\stackrel{\wedge}{k+1}}(2k+1)-p_{\stackrel{\wedge}{k+1}}(k)$; the second summand here would vanish by rule (5.4b), whereas by rule (5.3b) the first summand would reduce to p(k), which is the reduct of the $[n \mapsto 2k]$ -instance of rule (5.3b). By employing the latter rather than rule (5.3c) for the boundary case in question, one thus gets the same outcome, but throws out of the latter's domain the only points that would lead to use of rule (5.4b), which may thus be safely disposed of.

Before embarking on the analysis of DAG construction steps produced by rules (5.3a-c) in order to infer properties of the equivalent direct recurrence, one may note the unusual kind of induction taking place therein, where the auxiliary parameter increases along construction paths, until termination steps. This fact is easily explained by the location of the terminal region, which is the half region of the auxiliary recurrence domain that lies between the n = k and the n = 2k boundaries (both included), the former coinciding with the lower boundary of the auxiliary recurrence domain itself. It is then fairly obvious that paths starting outside of the terminal region should feature increasing values of the auxiliary coordinate, in order to enter the terminal region eventually.

The primary coordinate may increase as well as decrease along auxiliary paths, but the difference n-kbetween the two coordinates is nonincreasing; this fact, together with the strictly increasing monotonicity of the auxiliary coordinate along construction paths warrant termination of every path starting at startup point (n_0, k_0) after at most $n_0 - 2k_0$ construction steps. This can be easily seen as follows.

The case $n_0 \le 3 \lor k_0 \ge n/2$ is immediate, since either $n_0 \le 1$, in which case there is no startup point, because a primary instance of rule (5.3a) applies, or the startup point lies in the terminal region, hence the number of construction steps is 0. So, assume $n_0 > 3 \land 2 \le k_0 < n/2$; then the startup point coordinates satisfy the domain condition of auxiliary construction rule (5.3c), and its successor points along any construction path will also do so until fall in the terminal region. At each auxiliary construction step, along the path, the first coordinate distance between the source vertex of each construed edge and the n=2k boundary of the terminal region decreases strictly, either by 1 if the edge is construed by the first summand in the right hand side of the rule (since the first coordinate increases by 2 along the aforementioned boundary), or by k+2 in the other case. The longest path up to termination thus consists of only edges that are construed by the first summand in the right hand side of the rule. Since the first coordinate distance between the startup point and the n=2k termination boundary is n_0-2k_0 , the similar distance between edge target vertex and the same boundary becomes null or negative after n_0-2k_0 construction steps at most.

Now, about the target direct recurrence, let

$$c: \qquad n \ge 0 \quad \to \quad p(n) = 1 + \sum_{1 \le j \le n} c_j p(n-j) \tag{5.5}$$

be the equivalent direct recurrence of the composite recurrence defined by rules (5.3a-c). This instance of the general form (4.1) is justified by a few properties which immediately result from a first inspection of rules (5.3a-c). The direct recurrence consists of only one recurrence equation, since there is only one startup rule and no primary rules in the rewriting system of the composite recurrence. The domain of the direct recurrence is thus as specified by the domain condition of the only one startup rule (5.3a). The constant coefficient $c_{r_0} = 1$ is also borrowed from the corresponding constant $t_r = 1$ in the right hand side of the startup rule, since the other rules contribute null constant labels to the vertices of the parallel reduction DAG.

As a matter of notation, henceforth \tilde{n} denotes the value of n in an instance of Equation (5.5), as well as of the primary variable in an instance of the startup rule (5.3a). This is meant to prevent confusion with the free use of n to denote the first coordinate of a generic point in the auxiliary plane. The DAG that is construed by rules (5.3a-c) for a given \tilde{n} is then referred to as the \tilde{n} -DAG.

Coefficients c_j , for $1 \le j \le \tilde{n}$, in an instance of recurrence (5.5) result from the signed unitary contributions made by terminating paths in the \tilde{n} -DAG. The sign of the contribution made by any given terminating path is determined by the parity of the number of those auxiliary construction steps in the path which lower the first coordinate—as the lowering corresponds to the choice of the negative literal in the right hand side of auxiliary rule (5.3c), thus negative sign by odd parity, positive sign by even parity thereof. Regardless of sign, Equations (4.4) and (5.3b) entail that paths in a \tilde{n} -DAG contribute to the same coefficient c_j iff the coordinates (n_t, k_t) of their terminal vertices in the auxiliary DAG have equal difference $n_t - k_t = \tilde{n} - j = n_0 - j$, which is thus constant for the given \tilde{n} and each given j, with $1 \le j \le \tilde{n}$.

Recalling that the first coordinate is represented on the vertical axis, it is convenient in this case to give right-to-left orientation to the horizontal, second coordinate axis, as this choice yields a more immediate visual matching of the path coding which is introduced below with the graphical shape of coded paths. The domain condition of the termination rule determines the terminal region in the auxiliary plane, that has the diagonal line n=k as lower boundary, the straight line n=2k as upper boundary, and the k=2 vertical line as right boundary, all boundaries being included in the region. The nonvertical boundaries are represented in Figure 2a by the lowest dotted line and the broken line, where the first coordinate unit size is twice that of the second coordinate unit, to improve visual discrimination of paths outside the terminal region (thus the lowest dotted line really is the diagonal). The dotted lines represent equivalence classes of termination points, each class collecting all endpoints of terminating paths which contribute to the same coefficient of the target direct recurrence (not necessarily with the same sign). This is justified as follows.

The aforementioned characterization of the set of paths which, regardless of sign, contribute to the same coefficient c_i of the target recurrence, tells that each equivalence class is characterized by a distinct,

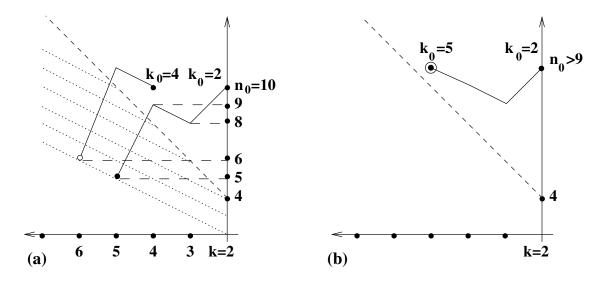


Figure 2: Complementary paths by auxiliary reductions

fixed value j of $\tilde{n}-(n_t-k_t)$, where (n_t,k_t) are the termination points of the paths in the equivalence class. Then it is plain that, for any given \tilde{n} and each j such that $1 \leq j \leq \tilde{n}$, the equivalence class where $n_t-k_t=\tilde{n}-j$ collects those points (n_t,k_t) of the terminal region which lie on the straight line that is parallel to the region lower boundary diagonal, at vertical distance $\tilde{n}-j$ from it. Figure 2(a) displays two auxiliary terminating paths construed by the subject rewriting system for $\tilde{n}=10$, which contribute to c_{10} with opposite signs (negative by the white-dot terminated path, positive by the black-dot terminated one).

Figure 2(b) displays two paths that lie outside the terminal region whenever $\tilde{n}=n_0>9$, one of them consisting of a startup point $(n_0,5)$ only, the other starting at $(n_0,2)$ and converging to the other's endpoint (which happens to be the startup point in this particular example) after three steps. Although neither path as such contributes to any coefficient of the direct recurrence, it is easy to realize that every terminating path that starts at $(n_0,5)$, *i.e.* it consists of the first of the two displayed paths followed by that terminating path, may be put in a one-to-one correspondence with the path that starts at $(n_0,2)$ and proceeds as the second of the two displayed paths followed by that same terminating path. The two corresponding paths contribute to the same coefficient of the target direct recurrence, whichever that coefficient may be, with opposite signs, since the first displayed path has an even (null) number of lowering steps, whereas the second one has an odd number thereof, so the contributions of pairs of so corresponding paths cancel out.

The fact that the (only) auxiliary rule (5.3c) among the rewrite rules of present concern has a two-summand right hand side suggests that a binary representation of paths, similar to that adopted in [4], may be useful to formalizing relations and correspondences between paths. To this purpose, from each binary word representing a path, that will be referred to as the *path code*, it should be possible to uniquely recover the following information about the path:

- the path startup point (n_0, x_0) , or just x_0 if n_0 is fixed, as it is in our case, for each given \tilde{n} determining the \tilde{n} -DAG of interest (with $n_0 = \tilde{n}$ in the present case, according to the (only) startup rule (5.3a));
- the sequence of binary choices between positive and negative summand in the auxiliary rule (5.3c) that determines the sequence of progressively construed path edges.

The first requirement, together with the fact that, according to the startup rule, k_0 is generally unbounded entail that a subword of the path code is to be assigned to encoding k_0 , whereas the rest of the code may

encode the path edge sequence, one bit per edge. Moreover, it will be helpful to define, for each terminating path code, the index j of the coefficient c_j which the encoded path contributes to, and the sign of the contribution made by the encoded path. The former is referred to as the *valuation* $\nu(b)$, while the latter as the *polarity* $\pi(b)$, of argument path code b. As the example displayed in Figure 2(b) may suggest, it is actually useful to define these two functions on path codes for all paths in the DAG, regardless of whether terminating or not.

The representation adopted in [4] is partially fit to the present purpose, in the sense that, like in that case, it is convenient to let the indexing of bit positions in any word b start from 2, this being the index of the rightmost bit position, thus $b = b_{l(b)+1}b_{l(b)}\dots b_2$, with l(b) denoting the length of word b. However, valuation and polarity of path codes differ from the corresponding definitions they are given in [4], because of the different discrete dynamics of the two composite recurrences under consideration. First, it is convened that the index of the righmost 1-bit in path code b is the value of b0 (consistently with the fact that b0 by the startup rule (5.3a)), and that each subsequent bit, proceeding right to left, is 1 if the corresponding edge in the path edge sequence is construed by the negative summand in the right hand side of auxiliary rule (5.3c), whilst it is 0 if the edge is construed by the positive summand in that rule. Then, letting b1 denote the number of 1-bits in binary word b1, valuation and polarity of path codes are defined as follows:

$$\nu(b) = \sum_{2 \le k \le l(b)+1} kb_k,\tag{5.6a}$$

$$\pi(b) = (-1)^{|b|_1 + 1}. (5.6b)$$

The polarity definition is justified by the fact that the rightmost 1-bit b_{k_0} , together with the 0-only suffix to its right, encodes k_0 , viz. the path startup point (whose first coordinate $n_0 = \tilde{n}$ is fixed for all paths in the \tilde{n} -DAG) rather than a negative summand choice, hence the product of edge sign labels along the path is determined by the parity of the number of the *other* 1-bits in the path code, excluding the rightmost one, each of them encoding a negative sign label.

The valuation definition meets the requirement that, if b encodes a terminating path, then $\nu(b)$ must be the index j of the coefficient c_j which the path contributes to. For a path starting at (n_0, k_0) and terminating at (n_t, k_t) , Equations (4.4) and (5.3b) entail this value is $j = n_0 - (n_t - k_t) = k_0 + (n_0 - k_0) - (n_t - k_t)$. If t(b) denotes the number of edges in the path encoded by binary word b, this number clearly coincides with the length of b minus the length of its suffix that encodes k_0 , so it is given by:

$$t(b) = l(b) + 1 - k_0. (5.7)$$

Then the previous requirement on $\nu(b)$ may be written as follows, taking Equation (5.7) into account:

$$\nu(b) = k_0 + \sum_{1 \le i \le t(b)} (n_{i-1} - k_{i-1}) - (n_i - k_i)$$
(5.8)

The valuation $\nu(b)$ may thus be seen to result from the sum of the initial second coordinate value k_0 and the contributions given by all edges in the path to bridging the gap in "coordinate difference" n-k between the startup point and the terminal point. Those edges which are construed by the positive summand in the right hand side of the auxiliary rule give a null contribution in this respect, since both coordinates increase by 1 along any such edge, whereas each of the other edges, say joining (n_{i-1}, k_{i-1}) to (n_i, k_i) , contributes $(n_{i-1} - k_{i-1}) - (n_i - k_i) = (n_{i-1} - n_i) - (k_{i-1} - k_i) = (n_{i-1} - k_{i-1}) - (k_{i-1} - k_{i-1})$ according to the right hand side of the auxiliary rule. Now, for $1 \le i \le t(b)$, k_i is the index of that bit in b which encodes the i-th edge in the path, therefore the contribution

made by the i-th edge is $k_i b_{k_i}$ in all cases, for $1 \le i \le t(b)$, and since $k_i = k_0 + i$, by Equation (5.7) one may rewrite the contribution as $(k_0 + i)b_{k_0 + i}$ for $1 \le i \le l(b) + 1 - k_0$, that is kb_k for $k_0 + 1 \le k \le l(b) + 1$, by an index substitution. Clearly, the sum of these contributions plus the initial k_0 is the value that Equation (5.6a) assigns to $\nu(b)$, since $b_k = 0$ for $0 \le k \le k_0$. From this it immediately follows that $\nu(b) \ge 0$, hence $\nu(b) \ge 0$

The double choice of the vertical axis for the first coordinate and of right-to-left orientation for the second coordinate axis now shows its comfortable effects, since it proves very easy to infer the path code from the visual appearance of any given path, inspected left-to-right. For example, the four paths displayed in Figure 2 have binary codes 10100, 1011, 1000, 0011—the reader may easily check which has which.

A remark is in place: equation (5.6a) defines the valuation of any path code b, not just those of terminating paths. For a path with endpoint above the terminal region, this may be understood as the partial valuation accumulated up to that point by whichever may be the terminating path further proceeding from that point on. Nonetheless, it is useful to characterize terminating paths in terms of properties of their binary codes, for a given $\tilde{n}=n_0$, since only terminating paths deliver contributions to the target recurrence coefficients. Whether or not does a binary word b encode a terminating path, that clearly depends on \tilde{n} , for, a change of \tilde{n} to \tilde{n}' amounts to a vertical translation of the encoded path by $\tilde{n}'-\tilde{n}$, parallel to the first coordinate direction, and this operation on paths does not generally preserve termination. It will also prove useful to distinguish whether or not does the termination point of a terminating path belong to the upper boundary of the terminal region, viz. the straight line n=2k (please note that the terminal region boundaries do not depend on \tilde{n} , as they are fixed for all DAG's; this fact may explain why vertical translation of paths does not preserve termination.)

Lemma 5.1. Let b be the binary code of a path in the \tilde{n} -DAG, with $b_{l(b)+1}$ its leftmost bit. Then the following statements hold:

- (i) the path encoded by b is terminating iff $\tilde{n} (l(b) + 1) \le \nu(b) \le \tilde{n}$;
- (ii) the path encoded by b terminates strictly below the upper boundary of the terminal region iff $\tilde{n} (l(b) + 1) < \nu(b) \leq \tilde{n}$, while it terminates at that boundary iff $\nu(b) = \tilde{n} (l(b) + 1)$;
- (iii) if the path encoded by b terminates strictly below the upper boundary of the terminal region, then $b_{l(b)+1} = 1$;
- (iv) if $\tilde{n} 2 \le \nu(b) \le \tilde{n}$, then b encodes a terminating path in the \tilde{n} -DAG, and $b_{l(b)+1} = 1$.

Proof.

- (i) The last edge of the path encoded by b in the \tilde{n} -DAG has target vertex $(n_{t(b)}, k_{t(b)})$ and source vertex $(n_{t(b)-1}, k_{t(b)-1})$, where t(b) is as defined by Equation (5.7), which also entails $k_{t(b)} = k_0 + t(b) = l(b) + 1$, since k_i increases by 1 at each step of the inductive construction of the path from its code. The path is terminating iff its last edge has source vertex outside the terminal region, i.e. strictly above its upper boundary, and target vertex inside it, i.e. at or below that boundary, which is the straight line n=2k. By the previous identity, this is characterized by $(n_{t(b)-1}>2l(b)) \wedge (n_{t(b)} \leq 2(l(b)+1))$. The leftmost bit $b_{l(b)+1}$ makes the difference $n_{t(b)-1}-n_{t(b)}=b_{l(b)+1}(k_{t(b)-1}+1)-1=b_{l(b)+1}k_{t(b)}-1=b_{l(b)+1}(l(b)+1)-1$, whence the previous condition is equivalent to $l(b)+1 \leq n_{t(b)} \leq 2(l(b)+1)$, by merging the two cases for $b_{l(b)+1}$. Finally, Equation (4.4) and the stated requirement on $\nu(b)$ give the identity $\nu(b)=\tilde{n}-(n_{t(b)}-k_{t(b)})$, which is equivalent to $n_{t(b)}=\tilde{n}-\nu(b)+l(b)+1$, whereby the previous condition proves equivalent to the stated one.
- (ii) The path encoded by b in the \tilde{n} -DAG terminates strictly below the termination upper boundary iff its upward translation by 1 is a terminating path in the $(\tilde{n}+1)$ -DAG; the replacement of \tilde{n} with $(\tilde{n}+1)$ in the characteristic condition provided by the previous statement (i) turns the lowerbound inequality into a strict one, after subtraction of the added 1.

- (iii) If the target vertex of the last edge in the path falls strictly below the upper boundary of the terminal region, then that edge cannot be a rising one, since this would entail that also its source vertex would fall in the terminal region, thus outside of the auxiliary rule domain.
- (iv) The parallel straight lines n-i=k, for $0 \le i \le 2$, that respectively are the path valuation equivalence classes of endpoints of those paths which have valuation $\tilde{n}-i$, fall entirely within the terminal region. Only one of these lines, viz. that for i=2, shares a point with the upper boundary of the terminal region, that is point (4,2), and this is the termination point of only one path in only one \tilde{n} -DAG, viz. the path encoded by b=1 for $\tilde{n}=4$, so $b_{l(b)+1}=1$ holds in this case, too, as it does in all other subject cases by the previous statement (iii).

Finally, analogously to the binary path encoding adopted in [4], here, for every given $j \geq 2$, path codes with valuation j may be put in a bijective correspondence with $S_{j_{>1}}$, the set of strict partitions of j with smallest part greater than 1.

6 Relationship with Euler's pentagonal partition and proof of the claim

A first bit of information about the coefficients of the direct recurrence (5.5) has been easily obtained in the previous section, viz. $c_1 = 0$. This has a useful generalization. Recall that, according to [13], a function a_n is said *C-recursive* if it satisfies a linear recurrence with constant coefficients, $a_n = c_1 a_{n-1} + \ldots + c_d a_{n-d}$.

Lemma 6.1. The recurrence (5.5) has constant coefficients, i.e. c_j only depends on j, not on n.

Proof. For every $\tilde{n} > 2$, a bijection is established between terminating paths that have the same polarity and the same valuation $j < \tilde{n}$ in the \tilde{n} -DAG and in the $(\tilde{n}-1)$ -DAG. Every path in the $(\tilde{n}-1)$ -DAG that terminates strictly below the upper boundary of the terminal region, viz. the n=2k straight line, is mapped to the path in the \tilde{n} -DAG that has the same binary code; this map is clearly injective, and it amounts to an upward-by-1 translation of paths from the $(\tilde{n}-1)$ -DAG into the \tilde{n} -DAG, along the first coordinate direction. The same mapping rule would not work for paths in the $(\tilde{n}-1)$ -DAG that terminate at the upper boundary of the terminal region, since the image path under translation would not be a terminating path in the \tilde{n} -DAG. Therefore, for every path in the $(\tilde{n}-1)$ -DAG that terminates at the upper boundary and has binary code b, its bijective image in the \tilde{n} -DAG is the path which has binary code 0b, that is easily seen to be a terminating one, also at the upper boundary of the terminal region. The so defined map is a bijection, thanks to Lemma (5.1(iii)), which entails disjointness of the images of the aforementioned two classes of terminating paths in the $(\tilde{n}-1)$ -DAG under the respective mapping rules as given above. This bijection includes all terminating paths with valuation $j < \tilde{n}$, and it preserves both valuation and polarity, so the resulting value of c_j is the same in both DAG's, for all $j < \tilde{n}$.

Thanks to Lemma (6.1), it suffices to compute each c_j for the smallest \tilde{n} for which c_j is defined, that is $\tilde{n}=j$, since it will thereafter keep constant for all higher values of \tilde{n} . This fact leads to an almost surprisingly simple proof of Equation (1.4). Two more lemmas provide useful tools to that purpose.

Lemma 6.2. The following identity holds for all $j \ge 1$:

$$c_j = \sum_{\nu(1b)=j} \pi(1b)$$

Proof. The sum is null for j=1, consistently with the already assessed $c_1=0$. For j>1, by Lemma (6.1) it suffices to compute c_j in the \tilde{n} -DAG where $\tilde{n}=j$. Lemma (5.1(iv)) tells that all paths which have valuation j are terminating paths in the j-DAG and have leftmost bit 1 in their path code.

The paths in the j-DAG that have valuation j are those which terminate at the lower boundary of the terminal region, viz. the diagonal line n = k. The next statement is a useful tool to compute the valuation of a path code out of the valuation of subwords of its.

Lemma 6.3. If b_p , b_s are binary words and their concatenation is $b = b_p b_s$, then

$$\nu(b) = \nu(b_s) + \nu(b_p) + |b_p|_1 l(b_s)$$

Proof. Follows from the definition (5.6a) of the valuation function.

The previous lemmas are all that is needed to show validity of the main claim.

6.1 Bijective proof

Proposition 6.1. The coefficients c_j of recurrence (5.5) satisfy $c_j = f_j$ for all j > 0, with f_j defined by Equation (1.3).

Proof. By induction on j. The basis case j=1 is immediate, since $e_0+e_1=0$ by Equation (1.1). For the inductive step, it suffices to show that $c_j - c_{j-1} = f_j - f_{j-1}$, thanks to the induction hypothesis. Since $f_j - f_{j-1} = e_j$ by Equation (1.3), then by Lemma (6.2) it suffices to find an involution \leftrightarrow on the set of binary path codes $B_j \cup B_{j-1}$, with $B_i \stackrel{\text{def}}{=} \{1b \mid \nu(1b) = i\}$, that satisfies the following requirements: (i) if $1b \leftrightarrow 1b'$ and $b \neq b'$, then $\pi(1b) = \pi(1b')$ iff $\nu(1b) \neq \nu(1b')$, and (ii) $1b \leftrightarrow 1b$ iff $\nu(1b) = j$ is pentagonal, say $j = (3k^2 \pm k)/2$, in which case $\pi(1b) = (-1)^{k+1}$. Such an involution may be specified by as few as two mapping rules, which are pairs of word patterns; these are words over the binary alphabet extended with variables which range over binary words such that the pattern instance meets a specified domain condition. The first rule to this purpose defines a bijection between B_{i-1} and the subset of B_i that consists of those path codes which have a 10 prefix; putting brackets around domain conditions, and using the abbreviation "[D] $w \leftrightarrow w'$ " to stand for " $[w \in D]$ $w \leftrightarrow w'$ ", here is this rule: $[B_i]$ $10x \leftrightarrow 1x$. Please note that the specified domain condition, which applies to the binary word instances of the left hand side pattern, together with Lemma 6.3 entail the converse domain condition $[1x \in B_{j-1}]$ for the binary word instances of the right hand side pattern. The second mapping rule is actually a rule scheme, since the set of its constituents is extended with a variable ranging over the nonnegative integers, subject to validity of the domain condition. Here it is: $[B_j]$ $1^{k+2}0x0^{k+1} \leftrightarrow 1^{k+2}x10^k$. Finally, the fixed points of the involution are defined as those words in B_i to which neither rule assigns a correspondent. The rest of the proof consists of a straightforward check of the following facts. (1) The converse domain condition for the second rule is $[1^{k+2}x10^k \in B_i]$ (thus corresponding binary instances of the two word patterns get the same valuation, viz. i). (2) Corresponding binary instances of the first rule have the same polarity, whereas those of the second rule have opposite polarity. (3) The previous two facts entail validity of requirement (i). (4) Fixed points of the involution are all the binary words in B_j that take any of the following forms, for $k \ge 0$: $1^{k+2}0^k$, $1^{k+2}0^{k+1}$, 1. (5) Valuations of these fixed point path codes respectively are the pentagonals (k+2)(3(k+2)-1)/2, (k+2)(3(k+2)+1)/2, 2; the only pentagonal that is not captured by any of these forms is 1, but this falls outside of the B_j part of any domain $B_j \cup B_{j-1}$ of the subject involutions, since 2 is the smallest valuation j of present concern, nor is it relevant to the inductive step of the proof, since j=1 is the basis case; the first clause of requirement (ii) is thus satisfied. (6) Polarities of the two families of fixed point path codes are $\pi(1^{k+2}0^k) = \pi(1^{k+2}0^{k+1}) = (-1)^{k+3}$ by Equation (5.6b), hence $(-1)^{(k+2)+1}$, thus fulfilling the last clause of requirement (ii) for j > 2. (7) Fixed point 1 also meets the last clause of requirement (ii) for the j=2 case, with positive polarity by Equation (5.6b), which fact completes the proof.

A final remark about language-theoretic sideways of the previous proof may be of interest to some readers. It seems that a key factor behind the great parsimony in the number of mapping rules that suffice to formalize the involution in the previous proof, is the particular selection of binary codes which have pentagonal valuations and that form the set of fixed points. A quick look at the pattern of the two families, with the nonnegative integer k as pattern variable, tells that they do not form a regular language over the binary alphabet, rather a context-free one, whose path codes may be visualized as the "trapezoidal" Ferrers diagrams, displayed e.g. in [12], which play a key rôle in Franklin's proof [8]. This fact is easily realized by taking the remark at the end of Section 5 into account. One may well take a different set of binary codes as representatives of the pentagonal numbers, that does form a regular language. The following regular expression testifies to this possibility: $(100)^*(1+011)$ (with "*" and "+" the regular Kleene star and choice operators, respectively). However, the author must admit his proven inability to build an involution that would isolate these path codes as fixed points.

6.2 Proof by generating functions

As pointed out at the end of Section 5, terminating path codes with valuation n may be put in a one-to-one correspondence with the strict partitions of n that have smallest part greater than 1. Essentially, this means that the indices of 1-bits in the path code are the (necessarily distinct) parts in the corresponding strict partition of the valuation of the path code itself. The polarity of the path code thus uniquely corresponds to the parity of the number of parts in the corresponding partition, odd parity corresponding to positive polarity. According to Lemma (6.2), coefficient c_j thus results from the difference O(j) - E(j) between the number of strict partitions of j with an odd number of parts and that of such partitions with an even number of parts, all partitions being constrained to have smallest part greater than 1. It takes a little effort of combinatorial imagination to identify the following generating function as that which suits the present purpose:

$$-\prod_{j\geq 2} (1-x^j) = \sum_{n\geq 0} c_n \, x^n,\tag{6.1}$$

the negative sign on the left hand side being explained by the fact that selecting the x^j term in an odd number of binomials must yield a positive contribution to the relevant coefficient on the right hand side, and conversely for selection of an even number of x^j terms. From Equation (6.1) we may immediately infer $c_0 = -1$ and $c_1 = 0$. The following manipulation of Equation (6.1) showcases a general method of getting recurrences out of generating functions [11].

Let $F \stackrel{\text{def}}{=} \sum_{n \geq 0} c_n x^n$. Taking logarithms in Equation (6.1), then turning the left hand side into a sum, and finally taking derivatives yields the following identity:

$$\sum_{j\geq 2} \frac{-jx^{j-1}}{1-x^j} F = F' = \sum_{n\geq 0} nc_n x^{n-1}.$$

The following identity is then worked out, where $\frac{1}{1-x^j}$ is replaced with the geometric series $\sum_{k\geq 0} x^{jk}$:

$$\frac{-jx^{j-1}}{1-x^j} = \frac{j}{x} \frac{-x^j}{1-x^j} = \frac{j}{x} (1 - \frac{1}{1-x^j}) = -\frac{j}{x} \sum_{k>1} x^{jk} = -j \sum_{k>1} x^{jk-1}.$$

By introducing the right hand side of this equation into the previous one, and therein expanding F, with a renaming of its index for clarity of later manipulation, one gets the following:

$$-\left(\sum_{j\geq 2} j \sum_{k\geq 1} x^{jk-1}\right) \left(\sum_{i\geq 0} c_i x^i\right) = \sum_{n\geq 0} nc_n x^{n-1}.$$

By equating the coefficients of x^{n-1} on both sides one then gets:

$$nc_n = -\sum_{0 \le i \le n-2} c_i \sum_{j \ge 2} j \sum_{\substack{k \ge 1 \ jk-1 = n-1-i}} 1.$$

Now, the condition jk-1=n-1-i is satisfied iff j|(n-i), and for each such j there is a unique $k=\frac{n-i}{j}$ fit to the purpose, therefore the inner double summation may be equated to $\sigma(n-1)-1$, where σ is the sum of divisors function, and the outer -1 is due to the exclusion of j=1 from the count of the divisors of n-1, since $j\geq 2$ is required by the second summation indexing. One finally gets the following recurrence for the coefficients c_n specified by the generating function (6.1), *i.e.* the coefficients of the target recurrence (5.5):

$$c_n = -\frac{1}{n} \sum_{0 \le i \le n-2} (\sigma(n-i) - 1)c_i$$
(6.2)

The similar manipulation of the well-known generating function [7] for the coefficients of Euler's pentagonal recurrence (1.2) yields the following recurrence for them, with basis $e_0 = -1$:

$$e_n = -\frac{1}{n} \sum_{0 \le i \le n-1} \sigma(n-i)e_i \tag{6.3}$$

By Equation 1.3, the following proposition is clearly equivalent to Proposition 6.1, but the proof exploits the recurrences obtained from the respective generating functions for the subject coefficients.

Proposition 6.2. The coefficients c_i of recurrence (5.5) satisfy $c_i - c_{i-1} = e_i$, for all i > 0.

Proof. Equation (6.1) gives $c_0 = -1$ and $c_1 = 0$; these identities show validity of the basis in the proof of the statement by induction on j, viz. for j = 1; the inductive step follows by manipulating Equations (6.2) and (6.3), using the induction hypothesis (IH). Here are the main steps, with concise justifications in brackets, the reader should be able to fill the gaps. Assume $c_i - c_{i-1} = e_i$ for $0 < i \le j$ as IH, then rewrite e_{j+1} as follows:

$$[Eq. (6.3)] e_{j+1} = -\frac{1}{j+1} \sum_{0 \le i \le j} \sigma(j+1-i)e_{i}$$

$$[IH] = -\frac{1}{j+1} \left(\sigma(j+1)c_{0} + \sum_{1 \le i \le j} \sigma(j+1-i)(c_{i}-c_{i-1}) \right)$$

$$[Eq. (6.2)] = -\frac{1}{j+1} \left(-(j+1)c_{j+1} + \left(\sum_{0 \le i \le j-1} c_{i} \right) + \sigma(1)(c_{j}-c_{j-1}) \right)$$

$$-\frac{1}{j+1} \left(\left(-\sum_{1 \le i \le j-1} (\sigma(j-(i-1))-1)c_{i-1} \right) + \sum_{1 \le i \le j-1} c_{i-1} \right)$$

$$[Eq. (6.2)] = -\frac{1}{j+1} \left(-(j+1)c_{j+1} + \left(\sum_{0 \le i \le j-1} c_{i} \right) + \sigma(1)(c_{j}-c_{j-1}) + jc_{j} - \sum_{0 \le i \le j-2} c_{i} \right)$$

$$= c_{j+1} - \frac{j}{j+1}c_{j} - \frac{1}{j+1}(c_{j-1} + \sigma(1)(c_{j}-c_{j-1}))$$

$$= c_{j+1} - c_{j}$$

7 Conclusions

Neither novelty nor computational efficiency justify interest in the recurrence for integer partition investigated in this work. On the novelty side, as pointed out to the author by Nick Loehr[9], the subject recurrence is essentially that proposed in Exercise 5.2.3 of Igor Pak's survey [10], although it is not noted there that the coefficients result from a discrete integration of Euler's coefficients; so, the essence is the same, the form is different, and a new form may raise some interest at times. On the computational side, the present recurrence, albeit linear and C-recursive, is less efficient than Euler's pentagonal recurrence, as the latter requires the computation of fewer recurrents. What seems more interesting is the sort of duality between the respective (bijective) proof techniques which extract them from composite recurrences. Euler's recurrence may be termed the "derivative" pentagonal recurrence, and may be obtained by induction on minimal parts; the recurrence presented here might be termed the "integral" pentagonal recurrence, and is obtained by induction on maximal parts. Is this a situation which is peculiar to the integer partition function, or does it occur in other situations? Should the latter be the case, under which general conditions ought it to be expected?

Another aspect which may be of some interest is the fact that, while less efficient on the computational side, the "integral" pentagonal recurrence is obtained by what seems to be a more parsimonious construction of the bijection, if one compares the bijection rules presented here with those worked out in [4], which is the closest case to carry out such a comparison. A possibly interesting aside of this observation is that, if one replaces Equation (1.3) with its "derivative" counterpart, viz. $e_0 = -1$, $e_i = f_i - f_{i-1}$, taken as a definition of Euler's coefficients, then the bijective proof here presented for the "integral" pentagonal recurrence, *together with* (an aptly rearranged variant of) the proof by generating functions yield a novel proof of the well-known fact that Euler's coefficients are recurrence coefficients for integer partition. We invite the reader to try to show this fact as an exercise.

Another intriguing question, accompanied by a probably more challenging kind of exercise for the curious reader, is posed at the end of Section 6.1. Such kind of questions naturally arise in the context of bijective proofs, with some finitary language encoding of the set whereon an involution is sought for. Language theoretic questions and approaches enjoy some popularity in algebraic combinatorics, see *e.g.* the recent [5] for an exciting new perspective on a century-old problem.

The most relevant contribution made by this note to the author's own research interest is in the *method* adopted, to turn composite recurrences into direct ones, under relatively mild assumptions. It is *not* an automated method, but it seems to support combinatorial reasoning and to prove helpful to combine arguments of different kinds, e.g. bijective vs. generating functions, in the construction of proofs of equivalence of different recurrences as well as in the discovery of new, direct recurrences. As a matter of fact, that's how the present result, which the title of this note is about, came to the fore; prompted by the intriguing statement in [4], that taking the number of partitions of n with the smallest term j is *one* way of approaching the problem, the problem being to get a better understanding of why Euler's Pentagonal Theorem is true, it seemed just natural to try the dual way, viz. that of taking the number of partitions of n with the largest term j. The aim was to find another proof of Euler's recurrence, but the surprising outcome was a different, equivalent recurrence.

Notwithstanding the author's excitement about the method showcased in this note, its actual value is far from being assessed. While it seems reasonable to expect to find it useful with linear, C-recursive recurrences, more complex recurrence kinds may give rise to new challenges. This will be a subject of further investigation in the near future.

Acknowledgements

The author wishes to thank Vincenzo Manca for his gentle introduction to the exciting world of Algebraic Combinatorics and Partition Theory, and for the timely provision of those references which gave birth to the compelling need to write the present note.

References

- [1] G.E. Andrews, Euler's Pentagonal Number Theorem, Mathematics Magazine 56:5 (1983) 279–284.
- [2] G.E. Andrews, The Theory of Partitions, Cambridge University Press, 1998.
- [3] J. Bell, Euler and the pentagonal number theorem, version 2 (2006) e-print arXiv:math/0510054v2 . http://arxiv.org/abs/math/0510054
- [4] K.G. Brown, On Euler's Pentagonal Theorem. http://www.mathpages.com/home/kmath623/kmath623.htm
- [5] B.J. Cooper, E. Rowland, D. Zeilberger, Toward a Language Theoretic Proof of the Four Color Theorem, submitted, June 5, 2010. http://www.math.rutgers.edu/~zeilberg/mamarim/mamarimhtml/4ct.html
- [6] L. Euler, De partitione numerorum. Opera omnia: Series 1, Vol. 2 (1753) 254–294.
- [7] L. Euler, Evolutio producti infiniti $(1-x)(1-xx)(1-x^3)(1-x^4)(1-x^5)(1-x^6)$ etc. in seriem simplicem, Opera omnia: Series 1, Vol. 3 (1783) 472–479. Engl. trad. by J. Bell, version 4 (2009) e-print arXiv:math/0411454v4, http://arxiv.org/abs/math/0411454
- [8] F. Franklin, Sur le développement du produit infini $(1-x)(1-x^2)(1-x^3)\cdots$, Comptes Rendu 82, 1881.
- [9] N. Loehr, Personal communication, September 17, 2010.
- [10] I. Pak, Partition bijections, a survey. Ramanujan J. 12(2006):5-75. Available from http://www.math.ucla.edu/~pak
- [11] H.S. Wilf, Lectures on Integer Partitions, Pacific Inst. for Math. Sciences, 2000. Available from http://www.math.upenn.edu/~wilf
- [12] M. Zabrocki, F. Franklin's proof of Euler's pentagonal number theorem, Introduction to Combinatorics Course notes, Dep't of Mathematics and Statistics, York University, Canada, Winter 2003. http://garsia.math.yorku.ca/~zabrocki/math4160w03
- [13] D. Zeilberger, Enumerative and algebraic combinatorics, In: T. Gowers, (Ed.), The Princeton companion to mathematics, Princeton University Press, USA, 2008, pp. 550–561. http://www.math.rutgers.edu/~zeilberg/mamarim/mamarimhtml/enu.html